

Coffee Break

Know Your Customer Requirements: Are You Prepared for More Regulatory Scrutiny?

April 16, 2015

Presented By

Kathleen Gowin Adelina Azemi



**Treasury
Strategies.**

The Power of Experience®



What Is Happening in the Market?





What are the Regulators Finding?





Call to Action



Review your KYC / AML program when the bank:

- *Adds a new product*
- *Acquires an institution*
- *Enters a new client segment*
- *Experiences turnover in compliance staff*



Talk to Treasury Strategies

- *Provide expertise in reviewing existing AML programs to identify and remediate gaps*
- *Develop governance programs that reach across multiple areas, such as Lines of Business, Risk, Compliance and Legal*
- *Develop strategies for adding a new client segment and the impact on KYC / AML program*
- *Help banks exit an existing client base that no longer fits risk profile*





Contact Us!



Kathleen Gowin

Kathleen_Gowin@TreasuryStrategies.com

+1 (813) 482-2886

Adelina Azemi

Adelina_Azemi@TreasuryStrategies.com

+1 (312) 628-6904





Treasury Strategies Financial Services Practice



Our strategic advice and pragmatic solutions improve bottom line performance. Clients benefit from our 360° view of the treasury market, deep relationships, and proprietary analytic frameworks that transform data into strategic insights.



Clients

- Global Banks
- Regional Banks
- Solution Providers
- Associations
- Regulators

Solutions

- Business Strategy
- Revenue Enhancement
- Deposit & Sweep Pricing
- Sales Training & Effectiveness
- Product Opportunity & Gap Analysis
- Operational Efficiency
- Risk Management & Compliance
- Competitive Assessment & Positioning
- Vendor Selection & RFP Management
- Market Analysis
- KYC / AML Assessments





About Treasury Strategies, Inc.



Who We Are

Treasury Strategies, Inc. is the leading treasury consulting firm working with corporations and financial services providers. Our experience and thought leadership in treasury management, working capital management, liquidity and payments, combined with our comprehensive view of the market, rewards you with a unique perspective, unparalleled insights and actionable solutions.

What We Do

Corporations

We help you maximize worldwide treasury performance and navigate regulatory and payment system changes through a focus on best practices, technology, liquidity and controls.

Treasury Technology

We provide guidance through every step of the technology process – which includes creating a roadmap, selection, implementation and optimization. Our expert approach will uncover opportunities to optimize the value of your treasury through fully integrated technology solutions.

Financial Services

Our experience, analytic approach and benchmarks provide unique consulting solutions to help you strengthen and grow your business.

Locations

Chicago • London • New York

Accreditations



Connect with Us

 [www.TreasuryStrategies.com/
content/networking-communities](http://www.TreasuryStrategies.com/content/networking-communities)

 @TreasuryStrat

