**Coffee Break** 

# Know Your Customer Requirements: Are You Prepared for More Regulatory Scrutiny?

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Presented By

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The Power of Experience\*



# What Is Happening in the Market?











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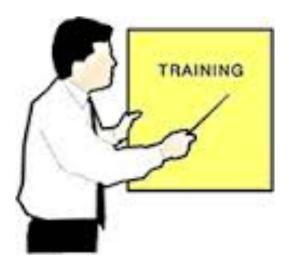






# What are the Regulators Finding?















### Review your KYC / AML program when the bank:

- Adds a new product
- Acquires an institution
- Enters a new client segment
- Experiences turnover in compliance staff



# Talk to Treasury Strategies

- Provide expertise in reviewing existing AML programs to identify and remediate gaps
- Develop governance programs that reach across multiple areas, such as Lines of Business, Risk, Compliance and Legal
- Develop strategies for adding a new client segment and the impact on KYC / AML program
- Help banks exit an existing client base that no longer fits risk profile





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- Market Analysis
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